Please select one of the following:
  Concentration Enrollment Form (please submit a copy to the Office of Academic Records)
  Advisor Meeting Record Form
  Concentration Completion Form (please submit a copy to the Office of Academic Records)

STUDENT INFORMATION (please print)

Last Name: ___________________________ First Name: ___________________________
Student ID Number: ___________________ Expected Graduation Date: _______________
Phone Number: ______________________ E-mail Address: _______________________
Concentration Advisor: ________________________________________________________

FOR CONCENTRATION ADVISOR ONLY:

I have met with (Student’s Name) ____________________________________________ to
discuss his/her enrollment in the Corporate Compliance Concentration, and/or his/her selection of
courses.  
Advisor Signature: ___________________________ Date: ______________

Please use the chart below to record advisor-advisee meetings.

<table>
<thead>
<tr>
<th>Semester</th>
<th>Meeting Date(s)</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1L Fall</td>
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<td>1L Spring</td>
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<tr>
<td>3L Spring</td>
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</tbody>
</table>

Both student and advisor should retain a copy of this form. If this is being used as a Concentration Enrollment Form, or a
Concentration Completion Form, a copy must also be filed with the Office of Academic Records.

Please sign up for the Concentration’s TWEN site: “Corporate Compliance Concentration.”

1 Students should meet with their advisor as soon as they find themselves interested in the Concentration, but in no event later than the
course selection deadline for their fourth semester of study (or fifth semester of study for part-time students).
<table>
<thead>
<tr>
<th>Proposed Courses (circle)</th>
<th>Proposed Term</th>
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<tbody>
<tr>
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</table>

**Required Core Courses (all must be taken):**

- Business Organizations
- Administrative Law
- Accounting and Finance for Lawyers††

**Electives (Students must take at least one elective course from each of the following lists):**

**List A [foundational industry courses]**
- Broker/Dealer Regulation*
- Corporate Finance
- Securities Regulation*

**List B [advanced financial industry courses]**
- Combatting Corruption
- Introduction to Asset Management*
- The Regulation of Hedge Funds, Private Equity Funds and other Alternative Investment Vehicles*

**List C [compliance-related courses outside of the financial industry]**
- Comparative Health Care Regulation
- Employment Law
- Biotechnology: Law, Business, and Regulation

**List D [other courses]**
- Business Drafting
- Federal Income Taxation of Individuals
- Introduction to Statutory and Regulatory Interpretation*
- Law & Role of In-House Counsel
- Real Time Lawyering: Negotiating the Complex Deal
- Securities Arbitration
- Transactional Lawyering
- Other:___________________________________________

**Writing Requirement:**

**Culminating Experience Requirement:**

Each student must complete a culminating experience in the area of compliance. Within the discretion of his or her Concentration Advisor, this could include:

- the Health Law & Policy Clinic; the Community and Economic Development Clinic; a relevant externship; a substantial relevant writing other than that which is being used to satisfy the writing requirement; relevant coursework beyond that which is being used to satisfy the other requirements of the Concentration; or some other relevant undertaking, whether credit-bearing or not.

*“Wild Card” courses:* the asterisked courses may be used to satisfy any List’s elective requirements, and not simply the List under which they are listed. No one course may be used, however, to satisfy the requirements of two Lists simultaneously.

†Although permissible, students should not wait until their last semester to complete the Concentration’s requirements. The Law School does not guarantee that these courses will be offered every semester, and even if offered, a course’s timing may conflict with other obligations and graduation requirements. This advisory is especially pertinent with regard to the Required Core Courses, each of which must be taken.

††Accounting and Finance for Lawyers is a new concentration requirement that applies to students graduating in May 2019 and thereafter. For students who will be graduating before May 2019, Accounting and Finance for Lawyers is a “Wild Card” course that can be sued to satisfy any List’s elective requirements.