

J. Scott Colesanti
SSRN Author #1196766

EDUCATION:

New York University School of Law

LL.M. in Corporate Law, May 1997

Fordham University School of Law

J.D., May 1988

Notes & Comments Editor, International Law Journal

Adelphi University, College of Arts & Sciences

B.A. (Double Major: History; Political Science), 1985

Summa Cum Laude graduate.

Valedictorian, College of Arts & Sciences

University Board of Trustees, 1985-1988

Chaminade High School, Mineola New York

Leading Member, National Champion Speech & Debate Team, 1979

TEACHING EXPERIENCE:

Hofstra University Maurice A. Deane School of Law, 2008-present

Professor of Legal Writing

--Adviser, Business Law Honors and Corporate Compliance Concentrations

Hofstra University School of Law

Study Abroad Program, 2009-present

--Pisa, Italy (May-June 2018)

--Curacao, Netherland Antilles (Dec. 2010-Jan. 2011)

--Freiburg, Germany (July-August 2009)

Hofstra University School of Law, 2002-2008

Special Professor, Securities Regulation and Broker-Dealer Regulation

Selected as "Professor of the Year" by the Hofstra Law Review, Spring 2006

Nassau and Suffolk County Bar Associations, 2011-present

Attorney-Advisor, N.Y. Statewide Mock Trial Tournament

Coached Teams to Quarterfinal/Semi-Final Appearances, 2011, 2012, 2014

Saint Louis University School of Law, 2000-2001

Adjunct Professor, Securities Regulation

LAW PRACTICE EXPERIENCE:

EDWARD JONES & CO., LP {national stock brokerage}

Senior Counsel/Regulatory Affairs Group, 2000-2008

Attorney responsible for preparing responses to federal legislative initiatives and helping to educate the firm's legal, operational, and sales departments on the same. Author of the firm's comments letters to the S.E.C. and FINRA on rule proposals affecting market operations, the resolution of business disputes, or customer services. Liaison to self-regulatory and federal regulatory offices. Featured speaker at semi-annual Regulatory Symposium.

LEXIS PUBLISHING, INC.

Securities Law Applications Consultant, 1999-2000

Trained corporate law departments of major St. Louis law firms in legal research. Responsible for implementation of Securities Acts module in training regimen.

NEW YORK STOCK EXCHANGE, INC.

Counsel, Office of the General Counsel, 1998-1999

Duties included co-managing outside counsel and working with state regulators and the S.E.C. Handled the majority of issues related to third party subpoena compliance. Conducted internal investigations into allegations of harassment, retaliation, and wrongful termination. Litigated employment and bankruptcy matters. Routinely represented employees at EEOC interviews or civil suit depositions. Determined privilege for Exchange documents.

NEW YORK STOCK EXCHANGE, INC.

Trial Counsel, Division of Enforcement, 1988-1998

Brought disciplinary charges against Exchange member firms and their employees for violations of Exchange and S.E.C. rules. Handled over 50 cases {available at www.nyse.com}. Completed investigations into allegations of both financial and sales practice misconduct, involving products ranging from common stock to mortgage-backed securities. Successfully argued appeals before the Exchange Board and the S.E.C.

LEGAL AID SOCIETY OF N.Y.C., Nov. 1987-Feb. 1988

Succeeded in obtaining Social Security disability benefits for an indigent applicant.

NY STOCK EXCHANGE HEARING BOARD, May 1987-August 1987

First law clerk to Chief Hearing Officer. Marshaled all evidence and transcripts, and researched issues for deliberations. Helped write decisions resolving procedural motions and charges.

SCHOLARSHIP:

Treatise Citations

INSIDER TRADING REGULATION, ENFORCEMENT AND PREVENTION,
§1.1, n. 14.10 (2016)

BROMBERG & LOWENFELS ON SECURITIES FRAUD §6:99
(2015, 2017).

2013 SECLAWREV §1:2

LAWSECREG 3:10; 12:9; 12:17; 22.3 (2017)

Publications

--**Books** [with accompanying Teacher Manuals]—

Fairness, Inc.: The Origins (and Billion-Dollar Bonuses) of Rule 10b-5 as America's Insider Trading Prohibition
(Carolina Academic Press, 2018)

Oral Advocacy: Style and Substance (Carolina Academic Press, 2017)

Legal Writing, All Business (Carolina Academic Press, 2016)

--**Law Reviews and Journals**

**Over 1,100 SSRN downloads since 2010*

“Trotting Out the White Horse: How the S.E.C. Can Handle Bitcoin's Threat to American Investors”
65 Syracuse L. Rev. 1 (2014)

“Other People's Volatility: A Call For Rules That More Equitably Stabilize the Stock Market”
39 U. Dayton L. Rev. 1 (2014)

“Wall Street as Yossarian: The Other Effects of the Rajaratnam Insider Trading Conviction”
40 Hofstra L. Rev. 411 (2012)
Selected for SSRN “Top10 Download List”, Jan. 2013

“Demanding Substance or Form? The SEC's Plain English Handbook as a Basis for Securities Violations”
18 Fordham Journal Corp. & Fin. Law 1 (Fall 2012)
Selected for SSRN “Top 10 Download List”, May 2013

“Another Madoff Masquerade? Questioning ‘Securities Fraud’ In the Crime and Its Cleanup”
56 St. Louis U. L.J. 521 (Winter 2012)
Selected for SSRN “Top 10 Download List”, Sept. 2012

“Taking Stock of the S.T.O.C.K. Act”
16 N.Y. Bus. L. J. 26 (Winter 2012)

**10 other articles, 2006-2011*

--Periodicals/Commentary

“The Short Arm of Congressional Insider Trading Statutes”

The New York Law Journal, August 24, 2018

“Now That Tom Brady Has Sat, Where Do We Stand?”

(discussing the FAA and professional athlete discipline)

The Business Law Prof Blog (October 16, 2016)

**co-authored with Karen Eng, Hofstra Class of 2018*

“Bitcoin Regulation: 50 Tempests in 4 Teapots”

The Suffolk Lawyer (December 2015)

**co-authored with Victoria Short, Hofstra Class of 2017*

**“Something Borrowed and Blue: New York’s Delayed
Marriage to Bitcoin Regulations”**

The Columbia Law School Blue Sky Law Blog (February 2015)

**co-authored with Mandy Li Weiner, Hofstra Class of 2017*

**“Responses to the Global Financial Crisis:
A Transnational Perspective”**

Society of Actuaries Semi-Annual Newsletter (Aug. 2013)

“Business Arbitration: The New Handicapping”

The Suffolk Lawyer (Nov. 2012)

**10 other publications, 2006-2011*

Additional Courses Designed

•“International Financial Crimes: A U.S.-EU Comparison”

Hofstra Study Abroad Program, Spring 2018

•“Pre-Trial Skills: Drafting and Arguing the Summary Judgment Motion”

Hofstra Law School, Spring and Summer 2018

•“Legal Methods: Office Memoranda and the Hierarchy of Authority”

Hofstra University School of Law, Spring 2015

•“Introduction to Administrative Law”

Hofstra University School of Law, Spring 2013

•Over a dozen Hofstra Law Independent Study courses, all focused on Business/Administrative Law

*6 other courses, 2000-2011

Panel Discussions/Presentations:

- “Virtual Currencies” (*Presenter*)
2015 Ohio Securities Conference, October 2, 2015
- “The State of Securities Regulation: An Open Door for Startup Companies?”
The Review of Banking and Financial Law Symposium (*Presenter*)
Boston University School of Law, February 27, 2015
- “Responses to the Global Financial Crisis: A Transnational Perspective”
Society of Actuaries Investment Section (*Keynote Speaker*)
Toronto, May 5, 2013
- “How Do We Fix the Economy? De-Regulation vs. Re-Regulation” (*Panelist*)
Hofstra University School of Law *Day of Dialogue*
October 10, 2012

*16 other presentations, 2007-2011

School/Community Service

- Adviser, over 35 Hofstra student Notes (2015-present)
- Adviser, “Legally Sound” (Hofstra *a cappella* group), 2017-present
- Adviser, Hofstra International Moot Court Team, 2018-2019
- Adviser, Hofstra Unemployment Action Center, Fall 2018
- Adviser, FINRA Dispute Resolution Team, Fall 2015, 2018
- Adviser, SJU Securities Dispute Resolution Triathlon, October 2015
- Host, Wall Street Tour for Admitted Hofstra Students, 2008-2018
- Instructor, Pre-Collegiate Law class (H.U. School of Education), March 2018
- Judge, ABA National Appellate Advocacy Competition, 2018
- Moderator, Hofstra Corporate Law Boot Camp, October 2018, 2019
- Movant, U.S. Supreme Court Swearing-In Ceremony, April 2018

Bar Admissions: N.Y. State courts (1989); N.Y. federal courts (S.D.N.Y. & E.D.N.Y. 1990); Washington, D.C. (1990); the U.S. Supreme Court (1997); and Missouri (2000).
