

Corporate Compliance and Ethics

Professor Black

First Assignment

Below is the first set of readings:

- Geoffrey Parsons Miller, *The Law of Governance, Risk Management, and Compliance* (2014) (Chapter 4)
- Roy Snell, *When is a Compliance Officer Not a Compliance Officer?*, The Compliance & Ethics Blog, April 6, 2015, available at <http://complianceandethics.org/when-is-a-compliance-officer-not-a-compliance-officer/>
- Nicole B. Guerin and John M. Tanner, *Mind Your Ethics: Professional Conduct for the In-house Lawyer*, ACC DOCKET, Jan. /Feb. 2015, at 66.
- Roy Snell, *Compliance Officer Independence*, The Compliance & Ethics Blog, May 28, 2014, available at <http://complianceandethics.org/compliance-officer-independence/>
- SAI Global *The Ten Habits of Highly Effective Compliance Programs*
- Metric Stream *Five Elements of a High Performing Compliance Program*
- Roy Snell, *Passion for Compliance*, The Compliance & Ethics Blog, November 21, 2017, available at <http://complianceandethics.org/passion-for-compliance/>
- *Top Ten Ethics and Compliance Predictions for 2018*, NAVEX Global, December 19, 2017

All readings are linked to the syllabus or posted on TWEN