

**RONALD J. COLOMBO**  
Associate Professor of Law  
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## ACADEMIC POSITIONS

**Hofstra University School of Law**, Hempstead, N.Y. *2006-present*  
*Associate Professor of Law*

- Classes taught:
  - Contracts I
  - Contracts II
  - Securities Regulation
  - Business Organizations
- Committees and activities:
  - Curriculum Committee (2009-present)
  - Faculty advisor to *Journal of International Law and Business* (2006-present)
  - Chair, Student Services & Professionalism Committee (Fall 2008)
  - Student Satisfaction Committee (2007-2008)
  - Adjunct Committee (2006-2007)
- Winner of Lawrence A. Stessin Prize for Outstanding Scholarly Publication (2008)

**Brooklyn Law School**, Brooklyn, N.Y. *Spring 2009*  
*Visiting Associate Professor of Law*

- Classes taught:
  - Securities Regulation
  - Controversies in Corporate Law (seminar)

## EDUCATION

**New York University School of Law**, J.D., *magna cum laude*, May 1998

- Order of the Coif
- *New York University Law Review* – Note and Development Editor (1997-1998)
- Legal Aid Society Intern, Federal Defender Division, E.D.N.Y. (1997-1998)

**Cornell University**, B.S., Policy Analysis, May 1994

- Dean's List
- Cornell Tradition Fellow

## CLERKSHIP

**Hon. Jerry E. Smith**, U.S. Court of Appeals for the Fifth Circuit, Houston, TX      1998-1999  
*Judicial Clerk*

## OTHER LEGAL EXPERIENCE

**Morgan Stanley & Co. Incorporated**, New York, N.Y.      2004-2006  
*Vice President & Counsel, Complex Global Litigation*

- Supervised investigations, litigations, and regulatory inquiries affecting Morgan Stanley's investment banking franchise.
- Rendered legal and strategic advice on wide-range of issues implicating securities, corporate, antitrust, contract, property, and bankruptcy law.
- Represented Morgan Stanley in interactions with the staffs / representatives of the Securities and Exchange Commission, Federal Bureau of Investigation, U.S. Department of Justice, N.J. Attorney General's Office, and various self-regulatory organizations.

**Sullivan & Cromwell LLP**, New York, N.Y.      1999-2004  
*Litigation Associate*

- Advised and represented clients on securities, corporate, contract, general commercial, employment, and antitrust matters.
- Represented corporate and banking clients in civil and criminal investigations conducted by the S.E.C., the U.S. Attorney's Office, and the Federal Reserve Bank.
- Assisted in all post-trial aspects of *United States v. Microsoft*, including appeal to the D.C. Circuit, petition for certiorari, Expediting Act litigation, and remedies hearing on remand.

**Kirkland & Ellis**, Washington, D.C.      Summer 1998  
*Summer Associate*

## PUBLICATIONS

*The Role of Trust in Financial Regulation* (in progress)

*Effectuating Disclosure under the Williams Act* (in progress)

*Cooperation with Securities Fraud*, 61 ALA. L. REV. \_\_\_\_ (forthcoming 2009)

*Exposing the Myth of Homo Economicus*, 32 HARV. J.L. & PUB. POL'Y 737 (2009) (book review of MORAL MARKETS, Paul J. Zak ed., Princeton University Press 2008)

*Ownership, Limited: Reconciling Traditional And Progressive Corporate Law Via An Aristotelian Understanding Of Ownership*, 34 J. CORP. L. 247 (2008)

*Buy, Sell, or Hold? Analyst Fraud from Economic and Natural Law Perspectives*, 73 BROOK. L. REV. 91 (2007) (2008 recipient of the Lawrence A. Stessin Prize for Outstanding Scholarly Publication)

Note, *Forgive Us Our Sins: The Inadequacies of the Clergy-Penitent Privilege*, 73 N.Y.U. L. REV. 225 (1998)

## CONFERENCES & PRESENTATIONS

Invited participant / blogger: “Faith and Corporate Law,” Online Symposium (THE CONGLOMERATE, May 4, 2009 – May 18, 2009)

Presentation: “Cooperation with Securities Fraud,” Faculty Workshop (Villanova University School of Law, March 27, 2009)

Presentation: “Cooperation with Securities Fraud,” Faculty Workshop (Notre Dame University School of Law, March 6, 2009)

Presentation: “The Madoff Fraud: How Did He Do It And Who Else Is At Fault?” Holtz Rubenstein Reminick LLP “Madoff Matters” Town Hall (Essex House, New York, NY, January 21, 2009)

Presentation: “A Cause of Depression: The Candidates’ Views on the Subprime Mortgage Crisis,” Educate 08: Panel on Economic Policy (Hofstra University School of Law, October 15, 2008)

Presentation: “The Auction Rate Securities Fiasco,” The Nassau Academy of Law’s Annual Securities Arbitration Update 2008 (Nassau County Bar Association, September 18, 2008).

Presentation: “Business Ethics as Applied Natural Law,” Murray Hill Institute (New York, NY, May 14, 2008)

Panel Moderator: “Present Federal Law and States’ Initiatives on Human Embryonic Stem Cell Research,” Embryonic Stem Cells, Clones and Genes: Science, Law, Politics and Values (Hofstra University School of Law & Hofstra Cultural Center, March 6, 2008).

Presentation: “Regulation of Virtual FOREX,” FOREX Symposium: Regulation in Currency Exchange & Its Impact on International Business (Journal of International Business and Law, Hofstra University School of Law, Frank G. Zarb School of Business, February 8, 2008)

Presentation: “Ownership, Limited,” Faculty Workshop (St. John’s University School of Law, January 28, 2008)

Presentation: “Natural Law Perspectives on Corporate and Securities Law,” 15th Annual Meeting of SCSS (St. John’s University School of Law, October 26, 2007)

Presentation: "Ownership, Limited," Faculty Workshop (Hofstra University School of Law, June 26, 2007)

## SELECT MEDIA

Quoted in Tom Incantalupo, *LI Business Bankruptcy Filings Surpass National Rate*, NEW YORK NEWSDAY, July 16, 2009

Interviewed by Ed Ingles, *Implications of the Madoff Scandal and the Supreme Court's New Haven Firefighters Decision*, Newline, 88.7 FM WRHU Radio, June 29, 2009

Quoted in Jacqueline Bell, *On Securities, Sotomayor Sticks To 'Nuts And Bolts'*, LAW360, June 9, 2009.

Cited and quoted in Ashby Jones, *Did a 'National Crisis of Character' Get Us Into This Mess?*, WSJ LAW BLOG, May 14, 2009

Cited and quoted in *Resolving the Crisis of Character*, BUSINESSAPE, May 13, 2009

*A Crisis of Character*, THE HUFFINGTON POST, May 12, 2009 (Op Ed)

Quoted in Tom Incantalupo, *Regulation: SEC Shake-Up is Likely, Sticking it to the Madoff Victims*, NEW YORK NEWSDAY, March 13, 2009

*Where's the Sense of Duty?*, NEW YORK NEWSDAY, February 19, 2009 (Letter to the Editor)

*Hypocritical Congress Failed in its Duty of Care*, FINANCIAL TIMES, February 19, 2009 (Letter to the Editor)

Quoted in Benjamin Sarlin, *Sticking it to the Madoff Victims*, THE DAILY BEAST, January 29, 2009

Quoted in Martha Graybow, *Lifting the Lid-Some Madoff clients lay low to avoid lawsuits*, GUARDIAN.CO.UK. January 23, 2009

*Hofstra's Colombo Says Madoff's Fraud Relied on 'Trust'*, BLOOMBERG.COM, (January 22, 2009 (video))

Quoted in Shannon Henson, *Auditors Make For Likely Targets in Touch Time*, LAW360, October 28, 2008

Quoted in Shannon Henson, *Cuomo Follows Spitzer's Path, With New Twists*, LAW360, September 11, 2008

Quoted in Susan Harrigan, *Bar is Raised for Fraud Suits, But Supreme Court's Ruling Shouldn't Hinder Many Investor Claims*, NEWSDAY, June 22, 2007

Quoted in Ross Daly, *Experts: CA will settle Wang dispute*, L.I. BUSINESS NEWS, April 20, 2007

## **PROFESSIONAL / EDUCATIONAL ACTIVITIES**

*Review of Business*, Editorial Review Board (2009 – present).

**FINRA Arbitrator**, New York, NY (2009 – present).

**Cornell Alumni Admissions Ambassador Network**, Applicant Interviewer (2008-present).

**New York University School of Law**, New York, NY

*Academic Careers Program*. Provide mock job talk / mock interview feedback and assistance to aspiring law professors (Fall 2007, Fall 2008).

*Lawyering Program*. Volunteer instructor to pairs of students in briefing and oral argument exercises. (Spring 2001, Spring 2003, Spring 2004).

**Association of the Bar of the City of New York**, Committee on Professional and Judicial Ethics (2000-2003).

## **BAR ADMISSIONS**

New York.

Washington, D.C. (inactive).

U.S. District Court – Southern District of Texas.

U.S. District Court – Southern District of New York.

U.S. District Court – Eastern District of New York.

U.S. Court of Appeals – Third Circuit.

U.S. Court of Appeals – Fifth Circuit.

U.S. Court of Appeals – D.C. Circuit.