

Corporate Compliance Concentration Form

Please select one of the following:

- Concentration Enrollment Form (please submit a copy to the Office of Academic Records)
- Advisor Meeting Record Form
- Concentration Completion Form (please submit a copy to the Office of Academic Records)

STUDENT INFORMATION (please print)

Last Name: _____ First Name: _____
 Student ID Number: _____ Expected Graduation Date: _____
 Phone Number: _____ E-mail Address: _____
 Concentration Advisor: _____

FOR CONCENTRATION ADVISOR ONLY:

I have met with (Student's Name) _____ to discuss his/her enrollment in the Corporate Compliance Concentration, and/or his/her selection of courses.

Advisor Signature: _____ Date: _____

Please use the chart below to record advisor-advisee meetings.

Semester	Meeting Date(s)	Notes
1L Fall		
1L Spring		
2L Fall ¹		
2L Spring		
3L Fall		
3L Spring		

Both student and advisor should retain a copy of this form. If this is being used as a Concentration Enrollment Form, or a Concentration Completion Form, a copy must also be filed with the **Office of Academic Records**.

Please sign up for the Concentration's TWEN site: "Corporate Compliance Concentration."

¹ Students should meet with their advisor as soon as they find themselves interested in the Concentration, but in no event later than the course selection deadline for their fourth semester of study (or fifth semester of study for part-time students).

Proposed Courses (circle or check)	Proposed Term				
	2L FA	2L SP	SUM	3L FA	3L SP†
Required Core Courses (all must be taken):					
Business Organizations					
Administrative Law					
Required Skills Courses (one must be taken):					
Business Drafting Seminar					
The Business of Lawyering					
Community and Economic Development Clinic					
Real Time Lawyering: Negotiating the Complex Deal					
Transactional Lawyering					
International Business Transactions					
Electives (Students must take at least one elective course from each of the following lists):					
List A [foundational industry courses]					
Corporate Finance					
Securities Regulation*					
Banking Law					
Derivatives Law					
Corporate Compliance and Ethics*					
List B [advanced financial industry courses]					
Combatting Corruption					
Introduction to Asset Management*					
Reg. of Hedge Funds, Private Equity Funds & other Alt. Inv. Vehicles*					
Broker-Dealer Regulation*					
Fundamentals of Cyber Security					
Law and Cyberspace					
List C [compliance-related courses outside of the financial industry]					
Comparative Health Care Regulation					
Global Compliance in the Digital Age					
Employment Law					
Biotechnology: Law, Business, and Regulation					
Labor Law					
Import/Export					
List D [other courses]					
Securities Arbitration					
Federal Income Taxation of Individuals					
Introduction to Statutory and Regulatory Interpretation*					
Law & Role of In-House Counsel					
Federal Corporate Taxation					
Global Fintech Law and Policy					
Writing Requirement:					
Culminating Experience Requirement: <i>Each student must complete a culminating experience in the area of compliance. Within the discretion of his or her Concentration Advisor, this could include: the Health Law & Policy Clinic; the Community and Economic Development Clinic; a relevant externship; a substantial relevant writing other than that which is being used to satisfy the writing requirement; relevant coursework beyond that which is being used to satisfy the other requirements of the Concentration; or some other relevant undertaking, credit-bearing or not.</i>					

* “Wild Card” courses: the asterisked courses may be used to satisfy any List’s elective requirements, and not simply the List under which they are listed. No one course may be used, however, to satisfy the requirements of two Lists simultaneously.

† Although permissible, students should not wait until their last semester to complete the Concentration’s requirements. The Law School does not guarantee that these courses will be offered every semester, and even if offered, a course’s timing may conflict with other obligations and graduation requirements. This advisory is especially pertinent with regard to the Required Core Courses, each of which must be taken.